

Action Points Audit Committee meeting 7 Sept 17

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Agenda Item No: 12.3

Trust Board Report

Meeting Date:	25 September 2017
Title:	Summary of issues considered by the Audit Committee on 7 September 2017.
Executive Summary:	This report sets out the more significant matters which the Audit Committee discussed at its most recent meeting, in order to provide information and assurance to the Trust Board.
Action Requested:	To note the report
Report of:	Mr Roger Dunshea, Non-Executive Director and Chair of the Audit Committee
Author: Contact Details:	Mr Roger Dunshea Tel: 01902 695953
Resource Implications:	None
Public or Private: (with reasons if private)	Public Session
References: (e.g. from/to other committees)	To receive and consider reports from the Deputy MD and COO, Internal Audit, External Audit Local Security Management Specialist (LSMS) and Counter Fraud . To review other sources of assurance including third party assurances
Appendices/ References/ Background Reading	None
NHS Constitution: (How it impacts on any decision-making)	In determining this matter, the Board should have regard to the Core principles contained in the Constitution of: <ul style="list-style-type: none"> ✚ Equality of treatment and access to services ✚ High standards of excellence and professionalism ✚ Service user preferences ✚ Cross community working ✚ Best Value ✚ Accountability through local influence and scrutiny

Background Details

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The agenda included a presentation on consultant job planning, the Charitable funds annual report, accounts and audit (ISA260) for the year 2016-17, the internal audit progress report, security report 2016-17 and the NHS independent review of fraud protection. The committee also reviewed the BAF/TRR developments.

- **Updates from QGAC, F&PC and TMC on increasing risks that require new controls , mitigation or third party audit.**

The chairs of the committees gave an update on the key risks for which they are responsible. Items brought to the attention of the committee were:

- 1) concerns over the system for ensuring the lessons from serious incidents are followed up,
- 2) the move to patient costing instead of service line reporting and the implications.
- 3) the escalating demand for maternity services and the need to protect service quality.
- 4) the review of building cladding. It was noted the Trust has already taken action and communicated the situation to public and patients.

No further audits were required at this stage however it was felt patient costing could be reviewed by internal audit in the next financial year.

- **Internal audit review of consultant job planning**

A presentation was made on the implementation of consultant job planning. The task is 50% complete, a further report will be submitted to the committee later in the year.

- **Board Assurance Framework and SRR**

The committee reviewed the development of the BAF and TRR. There remains concerns over the oversight and leadership of the BAF development and this was to be referred to the executive directors for discussion.

- **Internal audit progress reports 2017-18**

The committee received a progress update on the plan and audit planning briefs. The committee in particular wanted to see progress on the audit of how waiting list initiatives are delivered and how this relates to meeting RTT targets.

- **Charity funds annual report and accounts 2016-17, ISA 260 report and Letter of Representation .**

This was approved for recommendation to the next Board meeting.

- **External Audit**

The committee received a progress report and technical update from KPMG.

- **Counter fraud**

The committee received the latest report including the findings of the recent NHS Protect review. It was noted the Trust's response to NHS Protect would be sent later in the month. The Trust is also developing an action plan and the Chief Financial Officer informed the Committee that a number of the actions were already underway.

- **Losses and Compensation payments.**

The Committee recommended the paper for Board approval.