

# Chair's Report Audit Committee

## 4 February 2020

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Agenda Item No: 8.4

## Trust Board Report

<b>Meeting Date:</b>	4 February 2020
<b>Title:</b>	Audit Committee meeting - Chair's summary note Meeting 10 December 2019
<b>Executive Summary:</b>	The committee discussed security and protection of staff, counter fraud investigations, the internal audit plan 2019-20 including data security, cost improvement and the production of the plan for 2020-21. The external auditors provided an update on the planned audit of the 2019-20 annual report and accounts.
<b>Action Requested:</b>	To receive for information.
<b>Report of:</b>	Roger Dunshea, NED chair of audit committee
<b>Author:</b> <b>Contact Details:</b>	Tel 01902 69      Email @nhs.net
<b>Links to Trust Strategic Objectives</b>	To maintain the financial health of the organisation. To improve the culture of compassion, safety and quality. To have an effective, well integrated organisation which operates efficiently.
<b>Resource Implications:</b>	None
<b>Risks: BAF/ TRR</b> (describe risk and current risk score)	N/A
<b>Public or Private:</b> (with reasons if private)	Public
<b>References:</b> (eg from/to other committees)	N/A
<b>Appendices/ References/ Background Reading</b>	The full minutes will be circulated to the Board for its November meeting.
<b>NHS Constitution:</b> (How it impacts on any decision-making)	In determining this matter, the Board should have regard to the Core principles contained in the Constitution of: <ul style="list-style-type: none"> <li> Equality of treatment and access to services</li> <li> High standards of excellence and professionalism</li> <li> Service user preferences</li> <li> Cross community working</li> <li> Best Value</li> <li> Accountability through local influence and scrutiny</li> </ul>

Background Details	
1	<p><b>QGAC, F&amp;PC and TMC:</b> Updates were provided on increasing areas of risk that require new controls, mitigation or assurance audit.</p> <p>At this stage no further audits were requested.</p>
2	<p><b>BAF.</b> The committee reviewed the effectiveness of the BAF process. At this stage no concerns were raised. It was noted this topic would be covered by an internal audit review in February 2020.</p>
3	<p><b>Internal Audit progress report:</b> The internal auditors reported on progress to date The committee noted some audits had been delayed for completion later in the year.</p> <p>The audit of the Conflict of Interest procedure had been further delayed for reporting in February 2020.</p> <p>The Endoscopy patient database audit has provided assurance with a small number of low level recommendations.</p> <p>The data security tool kit audit had provided assurance with series of medium and low rated recommendations.</p> <p>The CIP audit had resulted in partial assurance with several recommendations to be followed up.</p> <p>The committee agreed the process for consulting on the internal audit plan 2020-2021.</p>
4	<p><b>External audit:</b> Planning for the 2019-20 audit of the annual report and accounts was making satisfactory progress. The implications of IFRS 16 on lease accounting had yet to be fully assessed. This would be reported to the February meeting.</p>
5	<p><b>Security:</b> A full discussion took place on proposals to strengthen security and protection for public, staff and patients.</p>
7	<p><b>Other items:</b> The committee discussed reports on fraud. The losses and compensation report was approved.</p>