

Chair's Report – Audit Committee

7 October 2019

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Agenda Item No: 6.6

Trust Board Report

Meeting Date:	Thursday 12 September 2019
Title:	Audit Committee meeting - Chair's summary note
Executive Summary:	The committee discussed risks arising from the external changes in the local health economy, the continuing examination of the high SHMI, uncertainties on capital funding and the continuing financial and recruitment pressures. The committee received assurance from the Annual Audit letter on the Trust's accounts and progress on the internal audit plan.
Action Requested:	To receive for information.
Report of:	Roger Dunshea, NED chair of audit committee
Author: Contact Details:	Tel 01902 69 Email @nhs.net
Links to Trust Strategic Objectives	To maintain the financial health of the organisation. To improve the culture of compassion, safety and quality. To have an effective, well integrated organisation which operates efficiently.
Resource Implications:	None
Risks: BAF/ TRR (describe risk and current risk score)	N/A
Public or Private: (with reasons if private)	Public
References: (eg from/to other committees)	N/A
Appendices/ References/ Background Reading	The full minutes will be circulated to the Board for its November meeting.
NHS Constitution: (How it impacts on any decision-making)	In determining this matter, the Board should have regard to the Core principles contained in the Constitution of: <ul style="list-style-type: none">  Equality of treatment and access to services  High standards of excellence and professionalism  Service user preferences  Cross community working  Best Value  Accountability through local influence and scrutiny

Background Details

1	<p>QGAC, F&PC and TMC: Updates on increasing areas of risk that require new controls, mitigation or assurance audit. The following risks were discussed:</p> <ul style="list-style-type: none">Cancer waits.Lack of progress on the CIPPathology reorganisationVertical integrationNew finance system implementation <p>At this stage no further audits were requested.</p>
2	<p>BAF. The committee reviewed the effectiveness of the BAF process. At this stage no concerns were raised. It was noted this topic would be covered by an internal audit review in February 2020.</p>
3	<p>Internal Audit progress report: The internal auditors reported on progress to date The committee noted some audits had been delayed for completion later in the year. The audits of the Conflict of Interest procedure and Endoscopy patient database would now be reported in December. The Learning from Deaths audit had been given a Significant Assurance rating.</p>
4	<p>External audit: Planning for the 2019-20 audit of the annual report and accounts was making satisfactory progress.</p>
5	<p>Other items: The committee discussed reports on security and fraud. The losses and compensation report was approved.</p>