

Chairs Report of the Audit Committee of 24 April 2019 and QGAC

13 May 2019

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Agenda Item No: 12.4

CHAIRMAN'S SUMMARY REPORT

This summary sheet is for completion by the Chair of any committee/group to accompany the minutes required by a trust level committee.

Name of Committee/Group:	Annual joint meeting of Audit and QGA committees.	
Report From:	Roger Dunshea	
Date:	24 April 2019	
Action Required by receiving committee/group:	<input checked="" type="checkbox"/> For Information <input type="checkbox"/> Decision <input type="checkbox"/> Other	
Aims of Committee: Bullet point aims of the reporting committee (from Terms of Reference)	The two committee's meet annually to review their joint work and to ensure there are no gaps in the assurance they each provide to the Board.	
Drivers: Are there any links with Care Quality Commission/Health & Safety/NHSLA/Trust Policy/Patient Experience etc.	To receive reports, reviewing and ensuring compliance with national, regional and local standards to ensure high quality service provision and to ensure compliance with regulatory authorities.	
Main Discussion/Action Points: Bullet point the main areas of discussion held at the committee/group meeting which need to be highlighted	<u>SUMMARY OF SIGNIFICANT ISSUES</u> There were no significant issues to report to the Board	

Main Discussion/Action Points: Bullet point the main areas of discussion held at the committee/group meeting which need to be highlighted	<p>The meeting received reports on:</p> <p>Internal audit The main elements of the internal audit 2018-19 plan have been completed.</p> <p>There are continuing concerns over delays in following up the overdue recommendations from previous internal audit reports. The committee felt more attention was required by senior managers to expedite actions. These will be followed up by the committee in 2019-20.</p> <p>Internal audit reports were received on core financial controls and data security.</p> <p>The committee approved the internal audit plan 2019-20.</p>
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	<p>External Audit The committee received a verbal update on the arrangements for completing the audit of the financial and quality accounts 2018-19. At this stage progress was to plan.</p> <p>Draft Annual Governance Statement 2018-19. This was presented to the meeting at short notice. Comments were requested after the meeting.</p> <p>Counter fraud The counter fraud plan 2019-20 was approved.</p> <p>The Audit and QGA committees' annual reports of activity were noted. The joint meeting continues to add value.</p>
<p>Risks Identified:</p> <p>Include Risk Grade (categorisation matrix/Datix number)</p>	<p>None other than already logged in the TRR and BAF.</p>