

Chairs Report of Audit Committee on 6 December 2018 4 February 2019

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Agenda Item No: 12.5

Trust Board Report

Meeting Date:	6 December 2018
Title:	Summary of issues considered by the Audit Committee on 6 December 2018.
Executive Summary:	This report sets out the more significant matters which the Audit Committee discussed at its most recent meeting, in order to provide information and assurance to the Trust Board.
Action Requested:	To note the report
Report of:	Mr Roger Dunshea, Non-Executive Director and Chair of the Audit Committee
Author: Contact Details:	Mr Roger Dunshea Tel: 01902 695953
Resource Implications:	None
Public or Private: (with reasons if private)	Public Session
References: (e.g. from/to other committees)	To receive and consider reports from External Audit, Internal audit, Security Management and Counter Fraud. To review other sources of assurance including third party assurances
Appendices/ References/ Background Reading	None
NHS Constitution: (How it impacts on any decision-making)	In determining this matter, the Board should have regard to the Core principles contained in the Constitution of: <ul style="list-style-type: none"> ✚ Equality of treatment and access to services ✚ High standards of excellence and professionalism ✚ Service user preferences ✚ Cross community working ✚ Best Value ✚ Accountability through local influence and scrutiny

Background Details

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The agenda included internal audit reports, external audit planning, BAF, security, and counter-fraud updates. The key points to note were:

- **Updates from QGAC, F&PC and TMC on increasing risks that require new controls, mitigation or third party audit.** The chairs of the committees gave an update on the key risks for which they are responsible. Topics included the National Procurement Framework and an introduction of a flat fee for Trusts, Proposal for the creation of a Trust Chain/Alliance and the Continuous Quality Improvement Programme. No new risks or matters for the attention of internal audit were raised.
- **Board Assurance Framework and SRR**
The refresh of the BAF and SRR was working well. The level of engagement and ownership has improved.
- **Previous Internal Audit reports**
The committee received two update briefings on the extent of progress implementing the findings of internal audits relating to waiting lists initiatives and pharmacy error reporting. Progress had been made and the committee looked forward to full completion by its next meeting.
- **2018-19 Internal Audit reports**
The committee received reports on estates management and theatre productivity. Both reports provided assurances however it was felt with the latter report further discussion with the theatre managers would be helpful; this will take place at the next meeting.
- **Counter fraud**
The committee received the update report and noted the progress on awareness and prevention. The committee discussed the current caseload and requested clarification on timescales and handling.
- **External Audit**
Planning is now well advanced for the audit of the accounts 2018-19. No significant issues have been raised at this point.
- **Losses and Compensation payments.**
The Committee recommended the paper for Board approval.